

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98

PTO-1449 FORM

Sheet 1 of 2

ATTORNEY DOCKET NO .: 38461-6098

SERIAL NO.: 10/040,937

APPLICANTS: Westbrook et al.

FILING DATE: December 28, 2001

GROUP ART UNIT: 2171

U.S. PATENT DOCUMENTS							
K'R IAL	*REF.#	PATENT NUMBER	DATE	NAME		U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
2	Α	4,407,290	10/14/86	Wilber		128/633	04/01/81
	В	4,796,636	01/10/89	Branstetter et al. RE	CEIVE	128/633	09/10/87
	С	4,802,485	02/07/89	Bowers et al		_128/633	09/02/87
	D	5,206,807	04/27/93	Hatke et al.	A A TOOF	364/413.03	02/16/89
	Е	5,549,655	08/27/96	Erickson Techno	logy Center 2	100 607/42	09/21/94
	F	5,591,216	01/07/97	Testerman et al.		607/42	05/19/95
	G	5,605,151	02/25/97	Lynn		_128/633	02/21/95
	Н	5,820,550	10/13/98	Polson et al.		600/323	03/16/95
	1	6,045,514	04/04/00	Raviv et al.		600/529	06/16/97
	J	6,161,030	12/12/00	Levendowski et al.		600/383	02/05/99
	К	6,240,316	05/29/01	Richmond et al.		607/42	08/06/99
	L	6,381,481	04/30/02	Levendowski et al.		600/383	01/27/00
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	AL	AL *REF. # A B C D E F G H J	AL *REF. # PATENT NUMBER A 4,407,290 B 4,796,636 C 4,802,485 D 5,206,807 E 5,549,655 F 5,591,216 G 5,605,151 H 5,820,550 I 6,045,514 J 6,161,030 K 6,240,316 L 6,381,481	A 4,407,290 10/14/86 B 4,796,636 01/10/89 C 4,802,485 02/07/89 D 5,206,807 04/27/93 E 5,549,655 08/27/96 F 5,591,216 01/07/97 G 5,605,151 02/25/97 H 5,820,550 10/13/98 I 6,045,514 04/04/00 J 6,161,030 12/12/00 K 6,240,316 05/29/01 L 6,381,481 04/30/02	REF. # PATENT NUMBER DATE NAME	A 4,407,290 10/14/86 Wilber B 4,796,636 01/10/89 Branstetter et al. RECEIVE C 4,802,485 02/07/89 Bowers et al. D 5,206,807 04/27/93 Hatke et al. E 5,549,655 08/27/96 Erickson Technology Center 2 F 5,591,216 01/07/97 Testerman et al. G 5,605,151 02/25/97 Lynn H 5,820,550 10/13/98 Polson et al. I 6,045,514 04/04/00 Raviv et al. J 6,161,030 12/12/00 Levendowski et al. K 6,240,316 05/29/01 Richmond et al. L 6,381,481 04/30/02 Levendowski et al.	NAME NAME

FOREIGN PATENT DOCUMENTS						
† EX'R INITIAL	*REF. #	DOCUMENT NO.	DATE	COUNTRY		TRANSLATION (YES/NO)
R	М	0 743 076	11/20/96	EP		
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EXAMINER'S SIGNATURE

DATE CONSIDERED

AMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

TITLE: SLEEP APNEA RISK EVALUATION



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Sheet 2 of 2

ATTORNEY	DOCKET	NO.
38461-6098		

SERIAL NO.: 10/040,937

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	OTHER DOCUMENTS					
† EX'R INITIAL	*REF.#	REF. # CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)				
P	Davila et al., "Oximeter's Acquisition Settings Influence the Profile of the Respiratory Distrubance Industries, 23(2):A8-A9 (2000)					
B	The Report of an American Academy of Sleep Medicine Task Force, "Sleep-Related Breathing Disorders in Adults: Recommendations for Syndrome Definition and Measurement Techniques in Clinical Research", Sleep, 22(5):667-689 (1999)					
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